

01-24-01

1763

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Kei-Yu Ko

Docket No.

11675.114

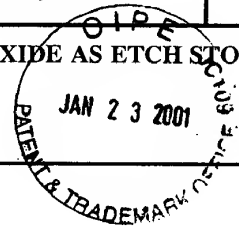
Serial No.
08/846,671

Filing Date
April 30, 1997

Examiner
George A. Goudreau

Group Art Unit
1763

Invention: **UNDOPED SILICON DIOXIDE AS ETCH STOP FOR SELECTIVE ETCH OF DOPED SILICON DIOXIDE**



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I hereby certify that this **Supplemental Information Disclosure Statement (* and related documents)**
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

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- *Transmitted: Transmittal for Information Disclosure Statement (2 pages)
- Supplemental Information Disclosure Statement (2 pages)
- Form PTO-1449 (1 page)
- Japan Reference No. 04-345,054 with English translation (10 pages)
- Check in the amount of \$180.00
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
11675.114

In Re Application Of: Kei-Yu Ko

Serial No.
08/846,671

Filing Date
April 30, 1997

Examiner
George A. Goudreau

Group Art Unit
1763

Title: **UNDOPED SILICON DIOXIDE AS ETCH STOP FOR SELECTIVE ETCH OF DOPED SILICON DIOXIDE**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☒ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
11675.114

In Re Application Of: Kei-Yu Ko

Serial No.
08/846,671

Filing Date
April 30, 1997

Examiner
George A. Goudreau

Group Art Unit
1763

Title: **UNDOPED SILICON DIOXIDE AS ETCH STOP FOR SELECTIVE ETCH OF DOPED SILICON DIOXIDE**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of **\$180.00** is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **23-3178** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.

_____) on _____
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

*This certificate may only be used if paying by deposit account.

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

Dated: *January 23, 2001*

[Signature]
Jesus Juanos Timoneda, Reg. No. 43,33



022901

PATENT TRADEMARK OFFICE

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PATENT APPLICATION
Docket No: 11675.114

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kei-Yu Ko

Serial No.: 08/846,671

Filed: April 30, 1997

For: UNDOPED SILICON DIOXIDE AS ETCH
STOP FOR SELECTIVE ETCH OF DOPED
SILICON DIOXIDE

Examiner: George A. Goudreau

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97(c)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 CFR § 1.98(a)(1), the enclosed Form PTO-1449 which contains a Japanese patent, previously listed in the Form PTO-1449 filed on 10/03/2000, as an item that has come to the attention of one or more of the individuals designated in 37 CFR § 1.56(c). While no representation is made that this reference may be "prior art" within the meaning of that term under 35 USC § 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 CFR § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention

(taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Statement of References Previously Disclosed
Under 37 CFR § 1.98(d)

The following listed reference is enclosed because, under 37 CFR § 1.98(d), it was previously cited by or submitted to the Office in application number 08/958,290, filed on October 27, 1997, which is relied upon for an earlier filing date under 35 USC § 120. While a copy of JP 04-345054 was included in the Information Disclosure Statement filed October 3, 2000, a copy of its English translation is enclosed in the present filing.

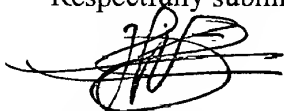
Foreign Patent Document

<u>Patent Number</u>	<u>Issue Date</u>	<u>Country</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
04-345,054	12-1-92	Japan	--	--	--

Please credit any over-payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 23rd day of January, 2001.

Respectfully submitted,



Jesús Juanós i Timoneda
Attorney for Applicant
Registration No. 43,332

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